

Dynamics of polymer chain collapse into compact states

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Molecular dynamics simulation methods are used to study the folding of polymer chains into packed cubic states. The polymer model, based on a chain of linked sites moving in the continuum, includes both excluded volume and torsional interactions. Different native-state packing arrangements and chain lengths are explored; the organization of the native state is found to affect both the ability of the chain to fold successfully and the nature of the folding pathway as the system is gradually cooled. An order parameter based on contact counts is used to provide information about the folding process, with contacts additionally classified according to criteria such as core and surface sites or local and distant site pairs. Fully detailed contact maps and their evolution are also examined.

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I. INTRODUCTION

Several decades of protein folding simulation have produced a substantial body of knowledge about processes governing molecular collapse from a random denatured state to a well-ordered and uniquely defined ground state [1–5]. Simulations addressing detailed representations of relatively large molecules over extended time periods lie close to, or beyond, the capabilities of currently available computers; consequently, a variety of simplified models designed to capture particular aspects of the molecular behavior have been formulated. These models eliminate much, or even most of the molecular detail, thereby achieving a major reduction in the amount of computation required for evaluating the interactions that govern the behavior. Further reduction in effort is gained by replacing Newtonian dynamics by one of the several forms of Monte Carlo sampling procedure, and the configuration space available to the molecule is generally reduced substantially by discretizing the problem and confining the molecule to the sites of a regular lattice [2,6,7]. After imposition of these and other approximations, the connection between the models and the original problem is at best tenuous, so that it is not always obvious if and how conclusion arising from such models relate to real proteins.

Although a full dynamical simulation of a relatively detailed representation of even a small protein (or part of a protein) remains a major computational undertaking [8], there is no reason why simplified models cannot be studied in the continuum with molecular dynamics (MD) methods. The purpose of the present paper is to extend an earlier study of this kind [9], which dealt with helix formation, to address the formation of a series of more complex native structures; the expectation is that through such simulations information will emerge about how structural variation influences the folding process.

The native structure chosen for study is the cube. The molecule itself is represented as a linear chain, free to move in the continuum (subject to specified internal degrees of freedom), and the interactions are chosen in such a way that,

in the native low-energy state, the chain is folded so that its sites form a perfectly ordered cubic array. There are numerous ways that a linear path can wend its way through all the sites of a cubic lattice of a given size; from this collection, four distinct but conveniently described paths have been selected for study. Cubic conformations are not known to occur in real proteins, but this is not an issue since the purpose of the study is to examine the effect of the variation of ground-state configuration on folding behavior. As will become apparent subsequently, the difference between these states directly impacts both the likelihood of entanglement (misfolding) and the rate of convergence to the ordered state (correct folding). This difference is at least partly attributable to the dissimilar contact patterns: adjacent sites in the native state involve different proportions of nearby and more distant (or local and nonlocal) sites when measured in terms of backbone separation.

In dealing with highly detailed protein models, there is a problem establishing that the free-energy minimum of the overall potential function really corresponds to the known native conformation; given the present state of the art, this is practically impossible, owing to the way potentials are developed and the limited spatial resolution of experimental structure determination. The alternative approach, based on a highly simplified description, preserves the underlying tenet of protein structure theory, namely, that the primary sequence determines structure, but is sufficiently economical to allow complete folding pathways to be studied. Moreover, the computational efficiency allows the dynamics of not just a single folding process to be followed, but an entire ensemble of systems can be examined, leading to a representative sample of the kinds of behavior that can arise; the importance of extensive sampling cannot be overstated, since with a very small number of samples it is impossible to determine what is, in fact, “typical” behavior.

One reason for choosing a cubic form for the native state is that a considerable body of work exists on chains that fold into this overall shape (see Ref. [7] and references therein). The difference is that all such works involve lattices, primarily using various Monte Carlo techniques; calculations of this type are carried out at a constant nominal temperature (the temperature is a parameter of the Monte Carlo procedure

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used in deciding whether to accept randomly generated trial configurations, and it determines the ability to cross potential-energy barriers). In lattice studies, the potential energy is a sum over contributions from chain sites that are in contact because they occupy adjacent lattice sites; the approach to choosing the potential and deciding which site pairs should be encouraged to form contacts depends on the model, and typically, the interactions are chosen in such a way that the native state has a substantially lower potential energy than any other state, even those corresponding to different cube packings (in some of these studies, interactions are selected so that even in the ground state a fraction of adjacent site pairs would prefer not to be neighbors, thereby allowing a certain amount of frustration to be incorporated into the design). The folding behavior has been found to depend on the gap between this global energy minimum and the other local minima corresponding to compact but misfolded configurations. Much of the lattice work has dealt with cubes having three sites per edge, corresponding to relatively short chains of length 27. When chains of length 125 were studied by similar means [7] it was noted that results based on shorter chains were subject to finite-size effects; in particular, the energy gap was a necessary but not sufficient condition for folding, and the presence of a set of core sites, nonexistent in 27-mers, played a key role in the folding.

While it is possible to debate the merits of Monte Carlo relative to MD, there is at least one difference that is particularly important for folding studies. In lattice-based Monte Carlo, the spatial discretization imposes restrictions on the permissible internal rearrangements: configuration changes typically involve the displacement of a single chain site, or a very small number of adjacent sites, as in crankshaft-type motion, and all displacements are based on jumps between lattice sites. There is no provision for collective movement of more extended subunits, a mode of reorganization likely to dominate once a chain has reached an even moderately compact state, and clearly such restrictions will have an impact on the chain “dynamics.” The MD approach is free from any limitations of this kind and, at least in principle, aims at a realistic representation of the dynamics.

The goal of the present paper is to extend the approach used previously [9] for modeling helix collapse to the study of cubic configurations, with emphasis on how the folding process is affected by the way the chain is arranged inside the cube. The main focus of the earlier paper was on the ability of a chain having a known native state to actually fold into that structure, within the course of a simulation of reasonable duration; the obvious extension of the work is to consider other configurations with different structural features. A helix involves contacts between sites relatively closely spaced along the chain, whereas cubic structures involve varying mixtures of local and nonlocal neighbors, a distinction that is likely to affect the folding process. The following sections outline the techniques, insofar as they differ from the earlier work, and then proceed to a discussion of the results and their implications.

II. METHODOLOGY

The model follows the approach described previously [9] in which the chain is represented as a series of sites (or

masses) linked by bonds of constant length, and the angles between successive bonds are assigned fixed values consistent with the desired native ground state; the only internal degrees of freedom are the dihedral angles that describe the relative orientations of next-neighbor bond pairs projected in a plane perpendicular to the bond between them. The interactions included in the model involve a soft-sphere repulsion between sites located at \mathbf{r}_i and \mathbf{r}_j ,

$$u_{ss}(r_{ij}) = 4\epsilon \left[\left(\frac{\sigma}{r_{ij}} \right)^{12} - \left(\frac{\sigma}{r_{ij}} \right)^6 \right] + \epsilon, \quad r_{ij} < 2^{1/6}\sigma, \quad (1)$$

responsible for the excluded volume of the chain (where $r_{ij} = |\mathbf{r}_i - \mathbf{r}_j|$) together with a torsional potential

$$u_t(\theta_k) = -u \cos(\theta_k - \theta_k^{(0)}) \quad (2)$$

acting along each bond (except for the first and last) with a minimum at $\theta_k^{(0)}$ corresponding to the dihedral angle of the native state. Aside from the different bond and dihedral angles as described here, all sites are identical.

While both of these interactions appear in more realistic protein potentials, the present model does not include any of the site-specific pair interactions that are the principal component of potentials used in more detailed protein studies; since there are no direct interactions between sites, any contact preferences exhibited by individual sites are entirely due to the torsional interactions that act along the backbone. Additionally, since the presence of a solvent would slow the computations substantially, the chain resides in a vacuum (a characteristic of some of the more detailed simulations as well); this also avoids the need to decide on the level of detail used to describe solvent effects. At a qualitative level, omissions such as these should not prevent simplified models from providing insight into the underlying dynamics of folding.

The MD approach used for the simulation involves recursive techniques for dealing with the internal degrees of freedom, while the integration of the equations of motion (for translational, rotational, and internal motion) is based on the leapfrog algorithm; the technical aspects of computations of this kind have been addressed at length elsewhere [9,10] so that the details need not be repeated here. The chain is initially heated to a comparatively high temperature to produce a random configuration, and is then cooled very slowly by reducing the kinetic energy by a constant factor at regular time intervals. The first stage of the cooling process is designed to extract energy from the chain and leave it trapped in a free-energy well—preferably, though not always, one from which the native state is accessible—from which escape is unlikely; the second stage is intended to freeze the configuration by eliminating, or at least weakening, the soft modes that correspond to the low-frequency collective motion present even at low temperature, so that measurements of the properties of the configuration eventually reached are relatively free from the effects of thermal fluctuation.

As in the helix studies [9], the cooling rate is chosen empirically, and is dependent on chain length. In order to allow comparison of the different native-state chain arrange-

ments, the same cooling rate is used in all cases. The rate value is chosen to differentiate clearly between the varying levels of ability to find the correct folding pathway to the native states; cooling too fast will allow none of the chains to fold properly, while excessively slow cooling will result in a situation where there are practically no unsuccessfully folded chains.

As an alternative (not explored here) to gradual cooling, the simulation could be carried out at constant temperature, using appropriate MD techniques, with a temperature value chosen to achieve a reasonably rapid folding rate while avoiding the excessive entanglement responsible for misfolding. Such results would produce a long-tailed (or open-ended) distribution of folding times, so that ensuring adequate configuration sampling is likely to demand much longer computation times than the approach based on cooling. The information produced concerning the comparative folding abilities of different native configurations and the behavior observed along the folding pathways should be similar, although time scales will differ due to the way temperature is involved.

The initial angular velocities associated with the dihedral angles are randomly assigned; changes to the seed used for initializing the random number generator lead to entirely different folding scenarios. Since it is neither possible, nor particularly meaningful, to describe at length the detailed histories of each of the large number of runs carried out, time-dependent ensemble averages over the collection of histories are evaluated. In some of the analyses described below the results are divided into two groups, runs that produce successful folding and runs that become trapped in a misfolded state; other kinds of analysis apply to either the entire set of runs or just the successful folders. While the results could be divided according to other criteria, that based on successfully completed folding is the most directly relevant.

Chains of lengths 64 and 125 have been studied; these chains can be packed into cubic states with four and five sites per edge, respectively. In terms of the reduced unit of length, chosen here (as usual) to be the parameter σ of the soft-sphere interaction (which is slightly less than the sphere's effective diameter), the length of the fixed links between neighboring chain sites is 1.3; this bond length is sufficiently short to prevent self-intersection, while allowing physically larger molecules than if adjacent spheres were actually touching. A chain of N sites has $N-1$ links and, since torsional motion is not associated with the two end links, $N-3$ internal degrees of freedom. Bond angles are fixed at either $\pi/2$ (right angle) or 0 (parallel), depending on the location in the native-state cube; to ensure that all bonds are treated equivalently, torsional motion is associated with bonds even when successive bonds are in the same direction. The torsional potential is the same sinusoidal function used in the helix studies, with the energy minimum located at the native-state dihedral angle for each individual bond.

The runs are of length 10^6 and 1.5×10^6 time steps for the $N=64$ and $N=125$ chains respectively; the size of the time step is 0.004 in reduced units. Cooling is accomplished by scaling the instantaneous kinetic energy every 4000 steps by factors of 0.97 or 0.98 for the shorter and longer chains, a

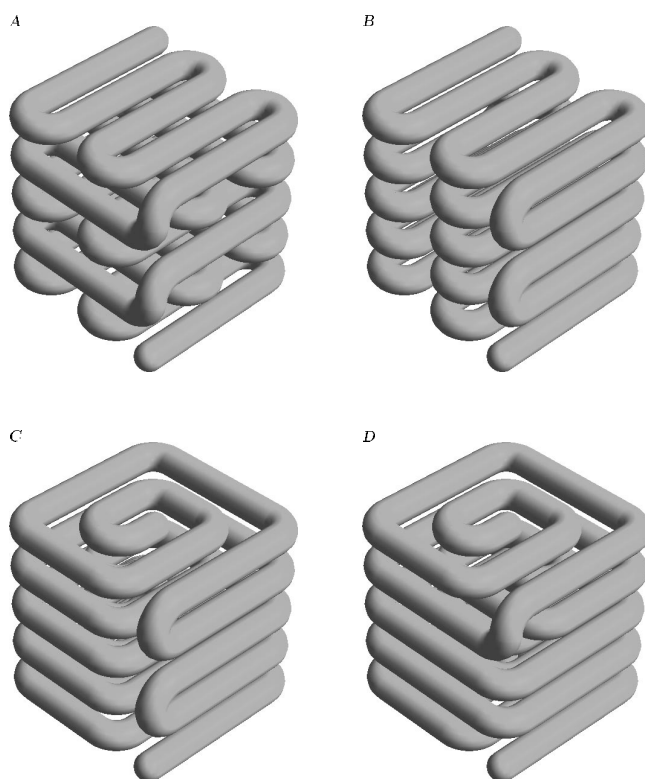


FIG. 1. Native-state configurations for cubes with five sites per edge; for ease of visualization the chains are shown as continuous tubes.

larger factor implying slower cooling. This results in a kinetic energy fall from an initial value of approximately 4 (per degree of freedom, in reduced units defined in terms of the soft-sphere potential) to a final value of 2×10^{-3} (the sum of potential and kinetic energy first becomes negative about a tenth of the way through the run); by comparison, the potential energy per dihedral angle is -5 in the ground state. A total of 400 runs are carried out for each type of native state and chain length; measurements and configurational snapshots are recorded at suitable time intervals.

The four kinds of chain organizations used for the native states are shown in Fig. 1; here, for clarity, the chains appear as continuous tubes, whereas in actual fact each chain consists of a series of closely spaced spheres joined by bonds of fixed length (an example of this representation is shown subsequently). In the orientation shown, the cubes are filled one complete horizontal plane at a time; the configurations shown are for $N=125$, the organization for $N=64$ chains is similar, after allowing for the smaller cube size. The differences between the configurations relate to the manner of filling the planes and the relationship between adjacent planes. Two of the cases, labeled *A* and *B*, are based on zigzag (or antiparallel) patterns, the other two, *C* and *D*, are spirals. The other difference is the relation between the fill patterns of successive planes: the two zigzag configurations consist of rotations (*A*) and reversals of the fill pattern (*B*); the two spiral patterns consist of spirals that rotate in alternate directions (*C*) and spirals that continue to rotate in the same direction (*D*). In all cases, the chain terminal sites lie on the

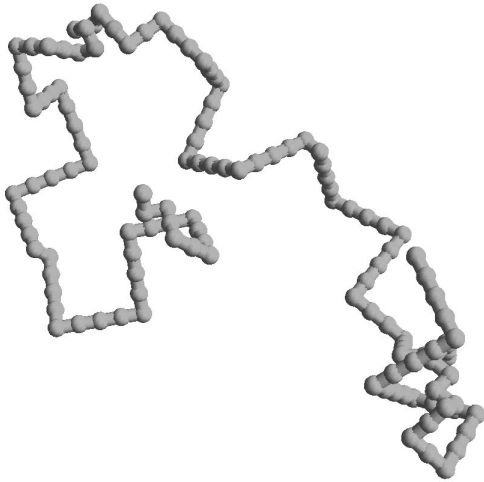


FIG. 2. Typical random early state (type-*D* configuration); the chain is shown as a sequence of linked spheres.

outer faces of the cube. There are numerous other possible patterns (some more readily characterized than others), in particular, patterns that are not restricted to filling a single plane at a time (such as the three-dimensional Hilbert curve), but the present assortment is already sufficient for displaying a wide range of behavior. The filling sequences create a distinction between “secondary” (each plane) and “tertiary” (the entire cube) structural features which some alternative pathways through the cube might not exhibit. Figure 2 shows an early, essentially random state from one of the runs after the chain has been heated to erase memory of the initial state; here a ball-and-stick representation is used to show the actual chain design.

III. RESULTS

The analysis focuses on a selection of configurational averages and distributions that characterize the time-dependent behavior of the chains as folding progresses. Some quantities will turn out to be sensitive to the organization of the native state, namely, the way the cube is packed, others less so. Although averaging merges the details of individual folding histories, it does facilitate the extraction of key aspects of the behavior that are contained in what is sometimes widely scattered data; the spread of the distributions, where shown, provides an indication of the inherent variability of the behavior.

A. Folding success rate

Ultimately, the only truly reliable test of whether a configuration has folded successfully is based on visual examination, but while this technique has been employed, it does not offer a reasonable approach for describing large sets of configurations. Furthermore, owing to residual thermal fluctuations, the separation between sites that are deemed to be within contact range will usually exceed the value applicable to a perfectly formed cube, and this flexibility must be accommodated by the analysis. A corresponding difficulty does

TABLE I. Folding success rate for the different native-state configurations and chain lengths N ; the results are based on a contact-range factor $\phi = 1.3$ (the results for $\phi = 1.4$ are shown in parentheses).

Configuration	$N = 64$	$N = 125$
<i>A</i>	0.92 (0.92)	0.81 (0.86)
<i>B</i>	0.78 (0.81)	0.48 (0.53)
<i>C</i>	0.80 (0.80)	0.40 (0.40)
<i>D</i>	0.51 (0.53)	0.11 (0.12)

not arise in lattice studies, where the existence of a contact requires the sites to be neighbors on the lattice in which the chain is embedded.

The automated decision scheme introduced here to classify the chain states employs two defining parameters. The first is a factor ϕ multiplying the bond length that establishes the maximum contact range. Visual inspection suggests $\phi = 1.3$; this excludes essentially all false positives but can underestimate the success rate by omitting some slightly misaligned but otherwise correct configurations—small thermal vibrations (generating concertinalike modes that produce slightly nonparallel layers, or minor deviations from planarity within the layers) are permitted energetically since the cost is comparatively low. Raising the value to $\phi = 1.4$ improves the situation by capturing most of these configurations, but allows a small number of false positives. The second parameter is the minimal fraction of site pairs that must satisfy the distance criterion for the chain to be regarded as successfully folded. Here a value of 0.8 is used, and this fraction must appear in at least one configuration during the final 120 time units (amounting to a few percent) of the run; in practice, once this value is encountered, a high contact fraction is normally maintained throughout the remainder of the run.

The fractions of chains of each type that fold successfully over the entire set of runs are summarized in Table I. The results are ranked in descending order; this order has also been used to assign labels to the native-state configurations. The success rates for both values of ϕ are shown; the difference is either zero or quite small. Thus, for practical purposes, the results are insensitive to the choice of ϕ in this range, and a value of $\phi = 1.3$ will be used when required in subsequent analysis.

For each configuration type the success rate is lower for the longer chains, but for both cube sizes there is a significant, systematic variation that depends on the organization of the native state. The most successful folder is type *A*, which consists (see Fig. 1) of successive zigzag layers in a crossed alignment. Relatively similar success rates are achieved by types *B* and *C*, which are the antiparallel (or reversed-direction) zigzag layers and the reversed-direction spirals; the common feature of both these configurations is that successive layers are mirror images of one another (leading to prominent features in the contact maps discussed later). Finally, the least successful folders are those of type *D*, in which the spirals, both increasing and decreasing, have all their turns in the same direction. The fraction of successful

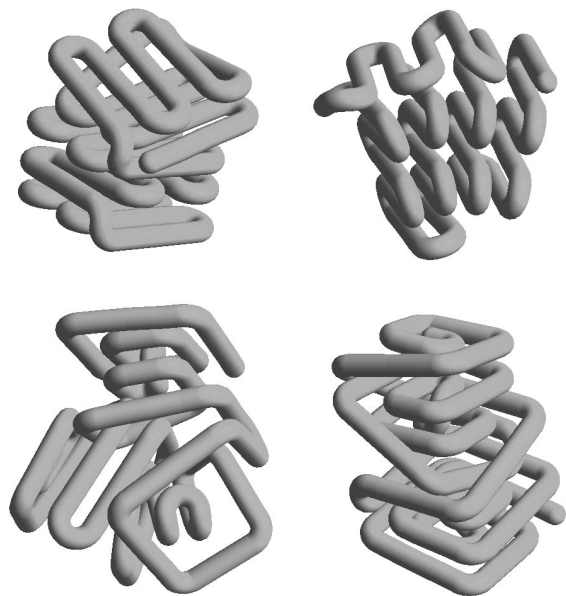


FIG. 3. Examples of misfolded states, one for each type of configuration.

folders as a whole depends on the cooling rate and, as indicated earlier, the rates were chosen to allow differentiation between the different chain types; the effect of alternative cooling rates is demonstrated in Ref. [9] for the case of helix folding, and cubes respond in a similar manner.

Figure 3 shows examples of misfolded states, one for each chain type; much of the correct native structure is present, but a very small number (as small as one) of incorrect twists leads to the wrong overall organization. Visual examination of numerous final states leads to the conclusion that while all correctly folded chains are alike, each incorrectly folded chain is incorrect after its own fashion. One could attempt to classify incorrect folds: in the helix study, most misfolds were due to a single incorrect twist, while here, an example of a clearly identifiable misfold involving just a single twist is the case in which an outer layer is rotated out of alignment and jammed against one of the other faces of the cube. However, it is not apparent that any useful purpose would be served by a systematic search among the misfolded states for common structural motifs. Residual thermal vibration was the reason given earlier for failing to identify states as correctly folded; an example of a folded configuration representing a borderline case is shown Fig. 4.

B. Energy and radius of gyration

The most familiar global properties of flexible chain molecules are the internal energy and the radius of gyration (another quantity familiar from chain-configuration studies, the mean-square end-to-end separation, does not convey sufficient information to be useful for analyzing folding). The distance of each of these quantities from the known native-state value provides an averaged measure of the deviation of the current chain state from the ordered configuration, with-

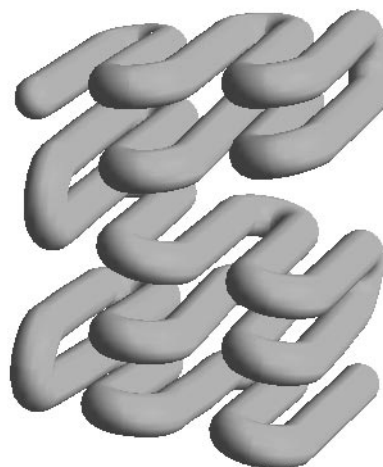


FIG. 4. A successfully folded configuration (type *B*) subject to the effects of thermal vibration.

out any attempt at characterizing the precise form of this deviation. These quantities, unlike some more specific measures described later, also correspond to properties that, at least in principle, are measurable (by calorimetry and light scattering) in the laboratory.

The graphs of normalized internal energy (the only interactions incorporated in the model, apart from excluded volume which makes a very small contribution, are the torsional terms) are shown in Fig. 5 for $N=125$; since the energies are negative the magnitudes are shown. The overall average for each of the four sets of configurations is plotted as a function of time, with separate curves used to distinguish the averages for successful and unsuccessful folders (using the definition of success given above). It is clear from the graph that there is very little to separate the different sets of data, implying that energy is not a useful distinguishing factor for these

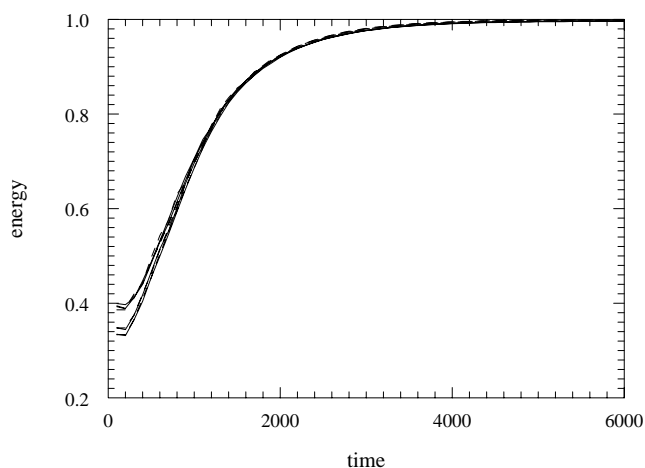


FIG. 5. Mean internal energy (normalized) vs time for successful (solid curves) and unsuccessful (dashed) folders of all four configuration types for chains of length 125 (reduced units are used); over most of the time range the curves are practically indistinguishable.

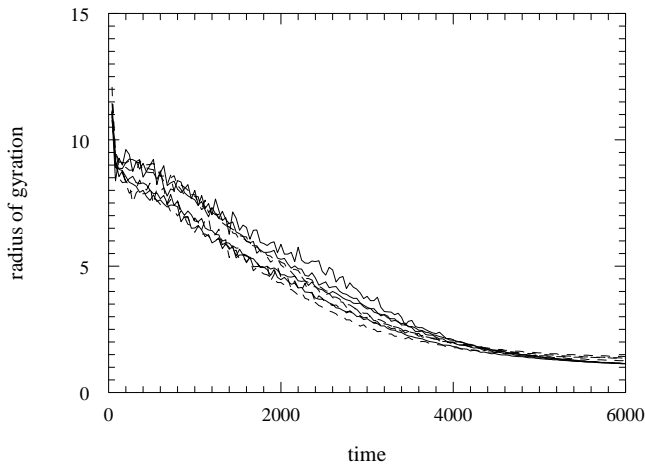


FIG. 6. Mean radius of gyration (normalized) vs time for successful (solid curves) and unsuccessful (dashed) folders of all four configuration types for chains of length 125.

studies. Examination of the full energy distributions (not shown) reveals the spread in values to be very narrow. As will become apparent subsequently, the internal energy approaches the limiting value of the folded state well before other quantities achieve convergence.

An overall measure of the compactness of the configuration is provided by the radius of gyration; it is defined as the root-mean-square distance of sites (assuming all to have the same mass) from their mutual center of mass (if the shape

deviates significantly from spherical—or cubical—symmetry, then it might be necessary to consider the individual moments of the mass distribution, but this is not the case here). The mean radius of gyration is shown in Fig. 6, again with separate curves for successful and unsuccessful folders of each configuration type. The results are normalized relative to the correctly folded collapsed state, which for a bond length of 1.3 has the value 10.14 for a packed cube of size 5 (6.34 for size 4). The results exhibit some degree of spread over much of the run duration, reflecting different collapse rates, but the final results are very closely spaced.

A common feature of the results in Fig. 6, for all configuration types but more pronounced in some cases, is that the unsuccessful folders appear to collapse somewhat more rapidly during the initial stage of folding, only to be overtaken later on by the chains that do manage to fold correctly. There is very little difference in the final mean values for the different configurations and folding outcomes. The actual distributions of values (not shown) are fairly narrow, but do reveal additional details; for example, the distribution at the end of the runs for type-*C* configurations consists of a pair of narrow partially overlapping peaks, although the combined peak width is no greater than for type-*D* with only a single peak. Since it follows from Fig. 6 that the range of actual (unnormalized) mean values is less than the site diameter it is apparent that, as with the internal energy, the radius of gyration is of little help in differentiating between sets of correctly and incorrectly folded states (the more extreme cases of misfolding make only a small contribution to the

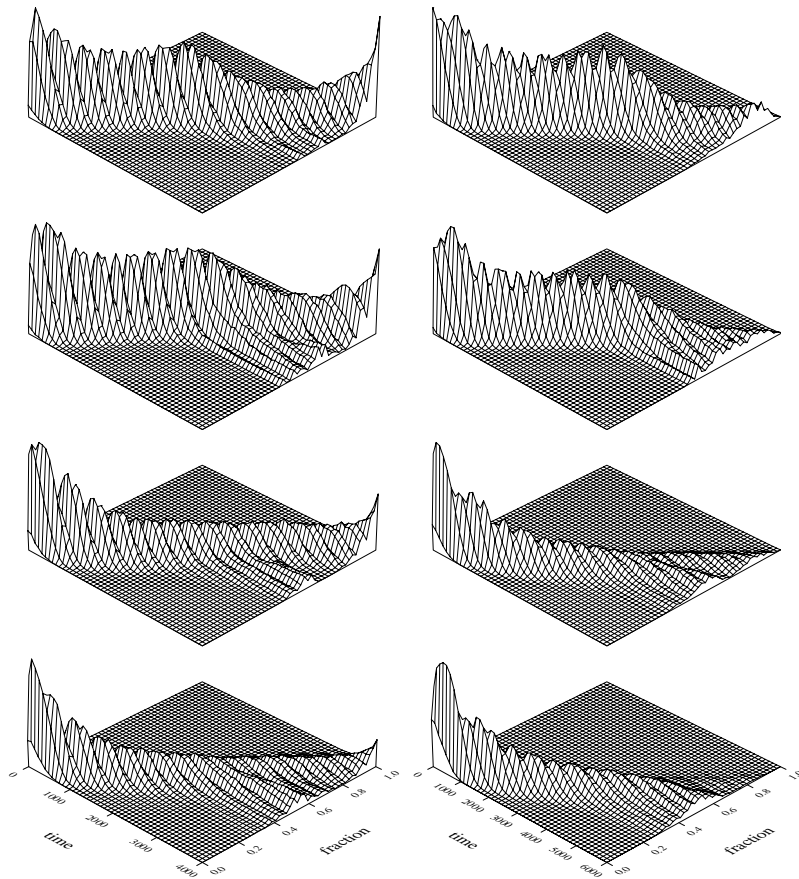


FIG. 7. Contact pair fraction S vs time for all configurations (*A–D* ordered vertically) and chain lengths (64 on the left, 125 on the right); the vertical axis shows the distribution.

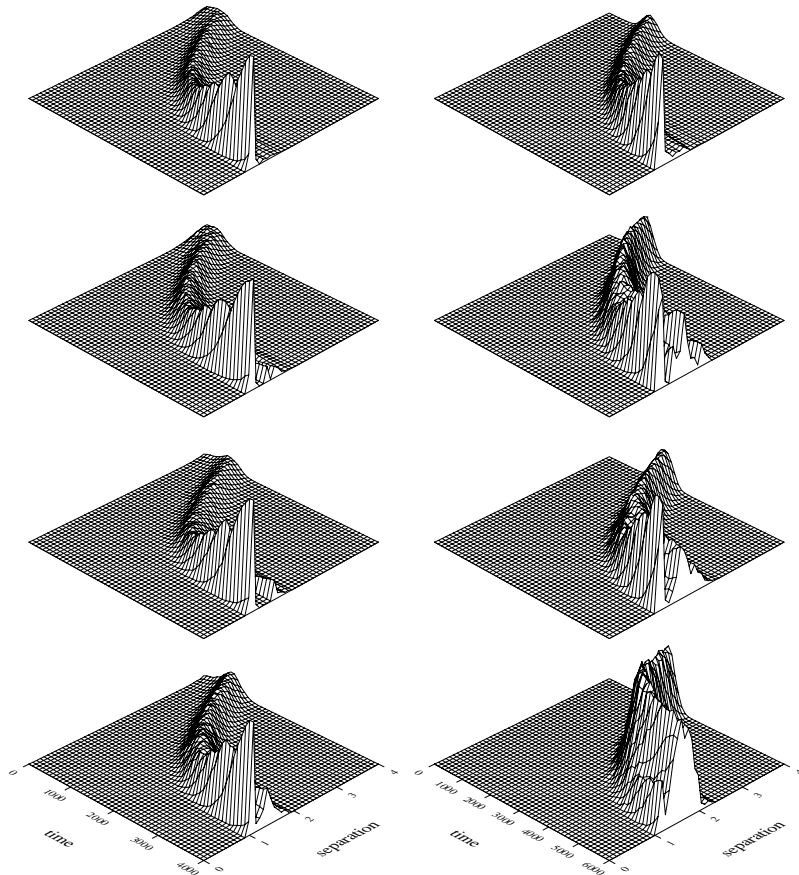


FIG. 8. Separation of site pairs that are in contact when in the native state vs time (the sequence of plots is the same as in Fig. 7).

mean). Though the radius of gyration converges less rapidly than the energy, it is already close to its limiting value at a time when other chain properties (see below) are still undergoing substantial change. This initial collapse to a relatively compact state, followed by a (sometimes successful) search for the route to the native folded state, is also a feature of lattice Monte Carlo studies [7]; however, given the continuity of configuration space in the MD approach, it is likely that MD will be more effective in rearranging a chain once it has reached a semicompact state.

C. Contact formation

The study of properties based on the local environments of the chain sites provides an alternative to the global measures of energy and conformation considered above. An order parameter S that measures the proximity of a configuration to its native state can be defined in terms of the fraction of site pairs forming contacts in the native state, which lie within contact range—with the separation factor ϕ introduced earlier used to determine which pairs qualify [for a chain whose native state is a cube with n sites per edge, the maximum number of contacts is the number of lattice bonds $n(n-1)(3n-2)$ minus the number of chain bonds n^3-1]. The time dependence of S is shown in Fig. 7. Here, and subsequently, surface plots are used to display these time-varying distributions in a compact fashion. The fraction of successful folders corresponds to the area under the peak in the region close to unity near the right corner of the grid; unsuccessful folders contribute to lower values. Clearly, the

behavior of S depends not only on chain length but also on the cube packing. In some cases, the S distribution becomes bimodal in the course of the folding process, with the two peaks corresponding to chains that do and do not manage to fold successfully ($S \approx 0.8$ is the minimal value exhibited by a folded chain, in which a fraction of the pairs that should be classified as being in contact is temporarily out of range due to thermal fluctuations); in other instances, a single broad peak encompasses both folded and misfolded configurations, and its position depends on the configuration type.

An alternative approach to describing the degree of contact formation, which avoids the need for the factor ϕ , is based on measuring the separation distribution of site pairs that should lie within contact range. The results are shown in Fig. 8; in those cases where multiple peaks occur, it is the narrow peak located at the lowest value that corresponds to correctly positioned neighbors, with peaks at larger separations showing the distance distribution of those site pairs that are unable to position themselves correctly; the graphs include all chains, both folders and nonfolders, with both kinds contributing to the various peaks, although in different proportions.

Contact formation between particular site pairs can be selectively studied by classifying the sites into different categories and evaluating the average behavior for each category. One such classification, used previously in lattice studies [7], distinguishes sites belonging to the exterior surface faces of the cube from those of the interior core. Although the design of the native states of the chains considered here does not

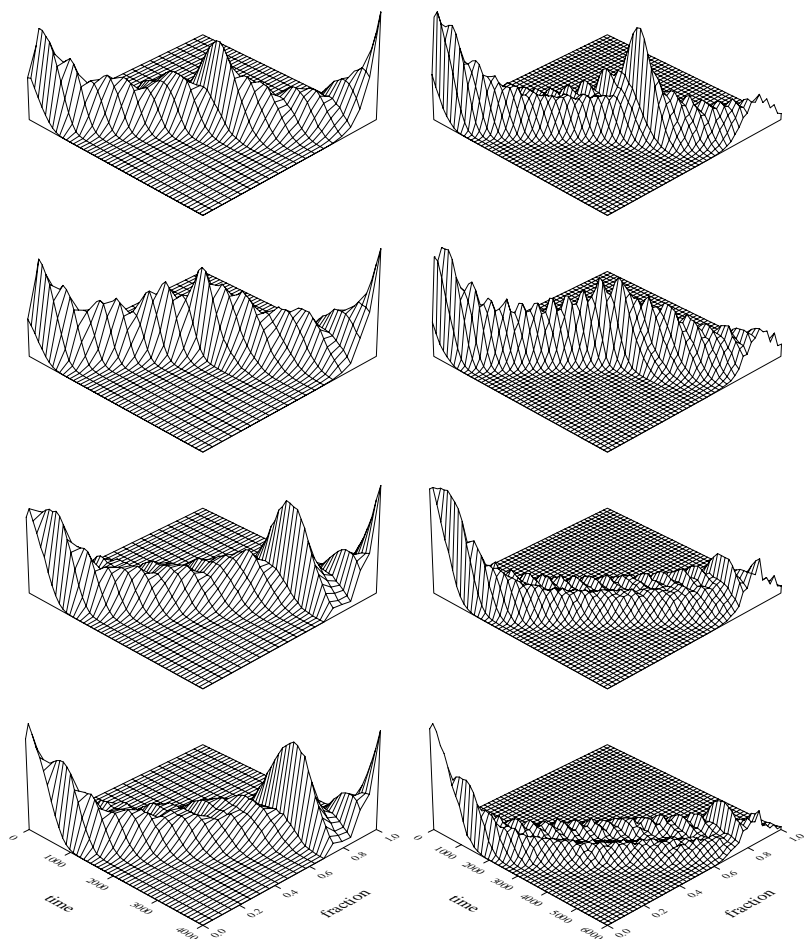


FIG. 9. Contact fractions for core and surface sites vs time for chains that fold successfully (plots are organized as before).

assign any preferred role to such a core, it does not preclude analysis of the results from such a perspective. Chains that fill cubes of size 4 have a mere eight sites in the core (12.5% of the sites), but chains filling cubes of size 5 have a more substantial core of 27 sites (21.6%). Figure 9 shows the contact fractions for chains that are able to fold successfully (the two sets of contact distributions—for core and surface sites—are normalized separately and then combined in each plot); with the longer chains, it is apparent that for configurations *C* and *D* contacts form, on average, at different rates and the core sites tend to make contact with their correct neighbors earlier (separate plots of the distributions establish this order). Such behavior is indicative of structure (in an averaged sense) nucleating in the interior and propagating outwards, but it is not apparent for all chain types and lengths.

An alternative to making the distinction between core and surface sites is to classify the individual contacts according to their role in the structure. All the chain configurations considered in the present work share the common design characteristic of a native structure built from a series of planar layers. This suggests dividing the contacts into two classes, those between sites within the same layer (each layer can be regarded as a secondary structure element) and those between sites in adjacent layers (forming the tertiary structure). The results, shown in Fig. 10, reveal that in all cases (the two contact distributions have again been combined in

each plot) the contacts within layers tend to form first, followed (after a delay) by contacts between layers. Furthermore, the formation of contacts within layers is essentially complete, and the missing contacts are those that should have appeared between the layers. The detailed distributions, and especially the elapsed time between the formation of specific fractions of intralayer and interlayer contacts, vary substantially with configuration type (and, of course, with chain length).

The tendency for intralayer contacts to form first, and in some instances for the core sites to form contacts earlier, are typical of the kinds of general observations that can be made concerning the folding pathways of simplified models. There is no component in the potential energy function that directly prefers any particular feature over any other (unlike the helix pairs of Ref. [9] where the potential favored the prior formation of individual helices and only subsequently their parallel alignment), so that this behavior is something that emerges spontaneously from the model. Owing to the wide variation in possible pathways, itself a consequence of the many degrees of freedom of the chain and the resulting high dimensionality of configuration space, it is not obvious how to further categorize the folding pathways at a higher level of resolution (by, for example, introducing appropriately defined “reaction coordinates”). In particular, it does not seem possible to establish the existence of “funnels” in configuration space that are traversed by a relatively large propor-

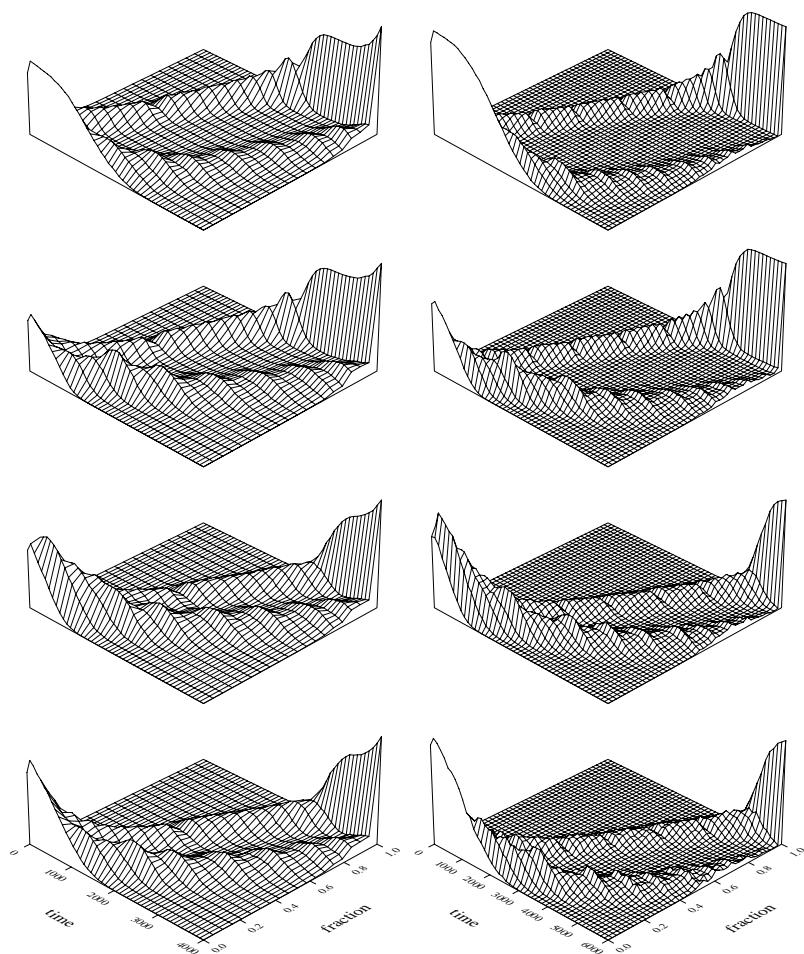


FIG. 10. Contact fractions for site pairs within and between layers vs time for chains that fold successfully.

tion of pathways; whether such a concept is useful (except in certain very specific cases) is questionable, indeed there could well be so many funnels that they span a substantial portion of configuration space.

D. Contact maps

The contact map provides a highly detailed but concise summary of the proximity of a configuration to its native state by showing which site pairs are within contact range; it also reveals patterns in the distribution of contacts among chain sites as a function of their backbone separation and among groups of sites at particular backbone locations. It is also possible to forego these details and study how the contact formation rate depends on backbone separation alone, without taking into account which sites are involved; such results reveal, not unexpectedly, that nearby (more “local”) sites generally form contacts earlier and have a higher overall success rate (there is the occasional exception). This classification, however, ignores features that have already been found to be significant, such as contacts within and between layers. Although the full contact map is not subject to this shortcoming, it does have the opposite problem of an excess of detail, and because sample sizes are smaller due to each pair of sites being treated separately, the results are subject to increased statistical noise.

The general arrangement of a contact map is that both axes correspond to the indices of the sites along the backbone; if a pair of sites (i, j) are within contact range then a (square) marker appears at the corresponding coordinates. Since the plot is symmetric only half the markers need to be shown; the backbone pairs can be included for reference even though they are always present. It is possible to extend this scheme for representing the configuration to produce a reasonably reliable, low-temporal-resolution history of the folding process; this is accomplished by replacing the binary (pair/nonpair) values in the contact map by continuous values representing the fraction of configurations sampled in which pairing occurs (including only those sites that are actually paired in the native state) over the course of folding, and using the linear size of the marker to denote the value (color or gray-scale coding can also be used). On the assumption that the contacts that appear more frequently are those that develop earlier along the folding pathway, the history is contained in this generalized version of the contact map; a considerably less concise alternative would be a sequence of binary maps corresponding to different times during the simulation.

The contact maps shown in Fig. 11 incorporate the two different kinds of information. The first is the actual pattern of contacts appearing in the ground state of the chain, which is indicated by the presence of markers irrespective of their

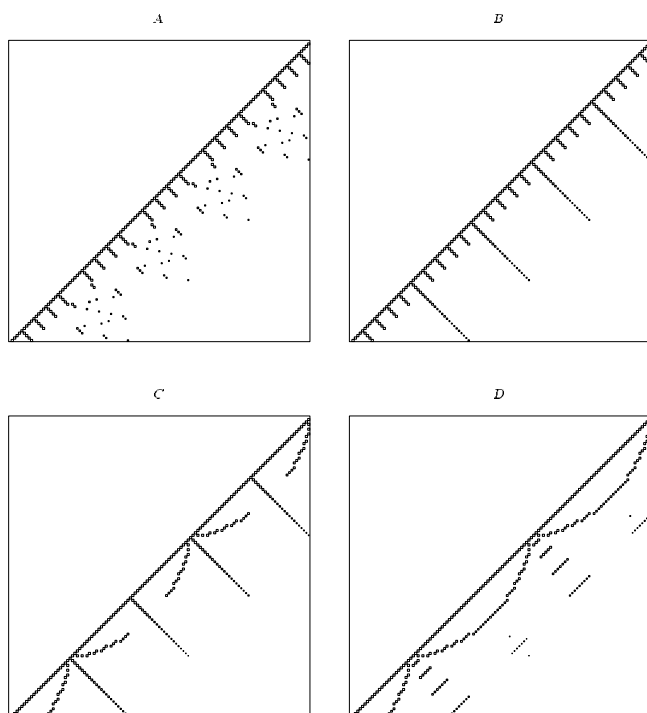


FIG. 11. Generalized contact maps for chains of length 125 (only chains that fold successfully contribute); as described in the text, each axis spans the range of site indices, while marker size is used to indicate the folding history, with bigger markers corresponding to contacts that form earlier (the main diagonal represents the backbone neighbors).

size. Certain structural features lead to recognizable patterns in the contact map, and while particular motifs appear in more than one map, the overall patterns associated with the four configurations are seen to be very different (there is also a systematic dependence on chain length). Contacts involving nearby (in terms of backbone separation) pairs appear close to the diagonal; identifiable structural elements in the native state, such as zigzag layers, spirals, and reversed adjacent layers, correspond to specific marker patterns. The second category of information is the concise representation of the folding history of the chain ensemble based on marker size.

What sort of information can be extracted from maps of this kind? Given that in the present model the contacts themselves do not contribute directly to the interaction energy, but only reflect the organization dictated by the torsional potential, it is not surprising that the maps reveal that contacts between nearby sites along the backbone tend to appear earlier (there are, for example, no attractive forces acting directly between distant sites that could compete against this ordering). The behavior is not always monotonic, so that in the longer, linear sequences of markers perpendicular to the main diagonal, a feature associated with configurations of types *B* and *C*, both of which have reversed adjacent layers, there are instances of more distant backbone sites pairing (on average) prior to those that are nearby. One could attempt to correlate specific features in the contact maps with the ability of the chains to fold successfully; while there are particular

details that are likely to be relevant, such as the presence or absence of larger groups of markers at various distances from the main diagonal, the data are probably insufficient to allow any firm conclusions. What is apparent here is that the best folder, type *A*, has the least amount of structure of this kind, *B* and *C* are similar, while *D*, the poorest folder, has the most amount of structure (these observations also apply to the shorter chains). The inclusion of additional native-state structures in the study might help elucidate the relationship between contact patterns and foldability.

IV. CONCLUSIONS

The focus of this paper has been on the folding ability of model chain molecules with well-defined native states. While each of the native-state configurations is cube filling, the paths taken by the chains through the cubes are different for each configuration, and this difference—which in some sense determines the “accessibility” of the native state—has a strong influence on the outcome of the folding process. Unlike the requirement of many lattice studies, the native-state energy is not markedly lower than for compact misfolded states; nevertheless, some of the configurations already achieve high folding success rates under the conditions of the simulation, and the results for the less successful cases could be further improved by slower cooling. The key conclusion is, therefore, that if a unique native configuration exists, which is consistent with the interaction potential, the chain is able—both in principle and in practice—to find it. The difficult problem, in the context of more realistic models, is how to formulate such a potential.

The relevance of the study of cubic conformations, despite the fact that such systems have no counterpart in nature, is the existence of well-defined, unambiguous ground states. Additionally, the cubic native state is able to accommodate a combination of both short- and long-range structural features. The fact that there have been extensive studies of cubically packed chains using other simulational approaches is yet another motivating factor. The advantage of the continuum MD approach is that efficient collective reorganization can occur, even in relatively compact states, as opposed to the discrete sets of moves provided by the various Monte Carlo approaches; the absence of configurational restrictions imposed by an underlying lattice allows for continuous conformational change, an important capability for partially collapsed chains.

The most obvious limitations of the model, in its present form, is that the only interactions included (aside from excluded volume) are of torsional type, and that no solvent is included. Neither shortcoming is difficult to overcome in principle. Additional interactions could be included if there was a specific reason to do so, although consistency of the overall potential with the desired native state would have to be assured. The inclusion of an inert solvent, modeled using discrete particles, would slow the dynamics substantially and require additional computation to handle the extra degrees of freedom; it would also be possible to incorporate specific chain-solvent interactions (to mimic hydrophobicity, for example), but this, too, would be a complicating factor that the

deliberately simple design of the present model is aimed at avoiding.

Even with these simplification, the model has been shown to display a range of physically interesting and relevant kinds of behavior. All the observations are based on a chain model whose interactions have been designed without any specific folding scenario in mind (aside from the chosen native state); nevertheless, there are certain identifiable aspects of the mean behavior that merit attention, such as the tendency in certain configurations for the core sites to form contacts earlier, for layers to become organized first, and for the correct folding pathway to be correlated with a slower overall collapse (as measured by the radius of gyration). Such features correspond to generic behavior, so that simple models of this kind can be used as reference systems when investigating more highly refined designs. If additional features are able to produce little more than that already occurs in the simplest of models, then they clearly fail to advance the state of the art.

What lessons emerge from these results insofar as protein folding is concerned? Each of the cases studied has a single

minimum (free-) energy collapsed state and a variety of misfolded states with very similar energies. Thus there is no global minimum state that is well separated from the many local minima, and on the basis of energy considerations alone it is not possible to reach any conclusion about the folding capability of each kind of chain. The intuitive but nonquantifiable notion of accessibility—the ability of the chain to go where it needs to be—plays an apparent role, since it reflects the robustness of the chain in resisting the consequences of incorrect entanglement; partially entangled states occur often along a folding pathway, but their effect is transient if escape is possible. It is clear from the present results that even the simple chain models studied here differ greatly in this respect.

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